IAP6 Rec'd PCT/PTO 23 AUG 2006

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007, OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 41066 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO_(If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 31 August 2004 (31.08.2004) 27 February 2004 (27.02.2004) PCT/JP2004/012516 TITLE OF INVENTION METHOD OF INDUCING HOMOLOGOUS RECOMBINATION APPLICANT(S) FOR DO/EO/US INOUE, Hirokazu Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) **✓** is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). lacksquareAn English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). \checkmark Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. Ly have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \checkmark An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🗸 and Form PTO-1449 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

Certificate of Express Mail; Return Receipt Postcard; copy of Amendment according to Article 34 of PCT; copy of International Search Report;

Other items or information:

Other items or information: This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

13. ✓

✓

A preliminary amendment.

A substitute specification.

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
10/590441 PCT/JP2004/012516					41066 CALCULATIONS PTO USE ONLY	
The following fees have been submitted				£200		PTO USE ONLY
21.			• • • • • • • • • • • • • • • • • • • •	\$300 	\$ 300.00	
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00	
TOTAL OF 21, 22 and 23 =					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE		
41 - 100 =	0 /50 =	0		× \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ 0.00	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	13	- 20 =	0	× \$ 50	\$ 0.00	
Independent clair	ns 1	- 3 =	0	× \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS =					\$ 900.00	·
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					0.00	
SUBTOTAL =					\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00	
TOTAL NATIONAL FEE =					\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 0.00	
TOTAL FEES ENCLOSED =					\$ 900.00	
					Amount to be refunded:	\$
					Amount to be charged:	\$
a. A check in the amount of \$ 900.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 16-0820 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0820 A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Customer Number: 00116						
Steven J. Solo					mon	
48719						
				REGISTRATIO	ON NUMBER	

10/590441 IAP9 Rec'd PCT/PTO 23 AUG 2006

CERTIFICATE OF EXPRESS MAIL

Appl. No.

TBD

Applicant

Hirokazu Inoue

Filed

Herewith

Title

METHOD OF INDUCING HOMOLOGOUS

RECOMBINATION

Int'l. Application No.

PCT/JP2004/012516

Int'l. Filing Date

August 31, 2004

Customer No.

000,116

Docket No.

41066

"Express Mail" mailing label number <u>EV740232055US</u>
Date of DepositAugust 23, 2006
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Amanda Wittine
Printed Name of Person Mailing Paper or Fee
Signature of Person Mailing Paper or Fee